



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/828,698	04/07/2001	Ian Day	RIV:0008

CONFIRMATION NO. 6463

FORMALITIES LETTER



OC000000006144211

23683
JAMES W HUFFMAN
1832 N. CASCADE AVE.
COLORADO SPRINGS, CO 80907-7449

Date Mailed: 06/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/27/2001 SZEWDIE1 00000108 09828698

01 FC:201 355.00 OP
02 FC:205 65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

Thaine
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



#3

07-26-01

Set of \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Elaina E. Von Haas Ian Day
Docket:	RIV:0008
Serial No.:	09/828698
Filing Date:	4/7/2001
Title:	CONTEXT-SENSITIVE HELP FOR THIN CLIENT-BASED BUSINESS OPERATIONS PLATFORM

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts, dated June 4, 2001 with a statutory period of response set to expire August 4, 2001, Applicant is enclosing herewith:

executed declaration of the inventors and combined power of attorney
an assignment of the invention to Rivio, Inc. with cover page
5 copy of Notice to File Missing Parts
a check in the amount of \$420 to cover the filing fee, the \$65 surcharge (small entity)
a check in the amount of \$40 for recordation of the assignment

10 Respectfully submitted,

15 James W. Huffman
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Date: 7/25/01

"EXPRESS MAIL" mailing label number EL679403447u5
Date of Deposit 7/25/01 I hereby certify that this
paper is being deposited with the U.S. Postal Service Express Mail
Post Office to Addressee Service under 37 C.F.R. §1.10 on the
date shown above and is addressed to the U.S. Commissioner of
Patents and Trademarks, Washington, D.C. 20231.
By: Brendan K. Bristol